

core1

```

07may10 11:33:42 User233765 Session D203.1
$0.00      0.247 DialUnits File415
$0.00 Estimated cost File415
$0.06 INTERNET
$0.06 Estimated cost this search
$0.06 Estimated total session cost    0.247 DialUnits

```

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SYSTEM:OS - DIALOG OneSearch
File 9:Business & Industry(R) Jul/1994-2010/May 06
(c) 2010 Gale/Cengage
File 15:ABI/Inform(R) 1971-2010/May 06
(c) 2010 ProQuest Info&Learning
File 160:Gale Group PROMT(R) 1972-1989
(c) 1999 The Gale Group
File 148:Gale Group Trade & Industry DB 1976-2010/May 06
(c) 2010 Gale/Cengage
*File 148: CURRENT feature not working. See HELP NEWS148.
File 275:Gale Group Computer DB(TM) 1983-2010/Mar 30
(c) 2010 Gale/Cengage
File 610:Business Wire 1999-2010/May 05
(c) 2010 Business Wire.
*File 610: contains data from 3/99 forward.
For archive data (1986-2/99) see File 810.
File 810:Business Wire 1986-1999/Feb 28
(c) 1999 Business Wire
*File 810: contains data from 1986-1999.
See File 610 for current data.

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Set  Items  Description
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? s (forward (20n) contract) and (security or securities)

Processing
Processing
Processing

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9: Business & Industry(R)_Jul/1994-2010/May 06
147657 FORWARD
292553 CONTRACT
1961 FORWARD(20N)CONTRACT
218140 SECURITY
283247 SECURITIES
393 (FORWARD (20N) CONTRACT) AND (SECURITY OR SECURITIES)

15: ABI/Inform(R)_1971-2010/May 06
516838 FORWARD
420860 CONTRACT
10593 FORWARD(20N)CONTRACT
490312 SECURITY
463276 SECURITIES
6274 (FORWARD (20N) CONTRACT) AND (SECURITY OR SECURITIES)

160: Gale Group PROMT(R)_1972-1989
7270 FORWARD
83210 CONTRACT

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161 FORWARD (20N) CONTRACT
19502 SECURITY
34728 SECURITIES
5 (FORWARD (20N) CONTRACT) AND (SECURITY OR SECURITIES)

148: Gale Group Trade & Industry DB_1976-2010/May 06
2180418 FORWARD
960983 CONTRACT
17144 FORWARD (20N) CONTRACT
1248400 SECURITY
2624446 SECURITIES
10751 (FORWARD (20N) CONTRACT) AND (SECURITY OR SECURITIES)

275: Gale Group Computer DB(TM)_1983-2010/Mar 30
85696 FORWARD
148551 CONTRACT
506 FORWARD (20N) CONTRACT
217223 SECURITY
41099 SECURITIES
103 (FORWARD (20N) CONTRACT) AND (SECURITY OR SECURITIES)

610: Business Wire_1999-2010/May 05
825556 FORWARD
147060 CONTRACT
5819 FORWARD (20N) CONTRACT
286219 SECURITY
734677 SECURITIES
4647 (FORWARD (20N) CONTRACT) AND (SECURITY OR SECURITIES)

810: Business Wire_1986-1999/Feb 28
116003 FORWARD
72808 CONTRACT
1108 FORWARD (20N) CONTRACT
54671 SECURITY
118326 SECURITIES
494 (FORWARD (20N) CONTRACT) AND (SECURITY OR SECURITIES)

TOTAL: FILES 9,15,160 and ...
3879438 FORWARD
2126025 CONTRACT
37292 FORWARD (20N) CONTRACT
2534467 SECURITY
4299799 SECURITIES
S1 22667 (FORWARD (20N) CONTRACT) AND (SECURITY OR SECURITIES)

? s remarketed (20n) debt

9: Business & Industry(R)_Jul/1994-2010/May 06
179 REMARKETED
151527 DEBT
28 REMARKETED (20N) DEBT

15: ABI/Inform(R)_1971-2010/May 06
1357 REMARKETED
401412 DEBT
151 REMARKETED (20N) DEBT

160: Gale Group PROMT(R)_1972-1989
40 REMARKETED

40165 DEBT
1 REMARKETED (20N) DEBT

148: Gale Group Trade & Industry DB_1976-2010/May 06
1619 REMARKETED
772105 DEBT
212 REMARKETED (20N) DEBT

275: Gale Group Computer DB(TM)_1983-2010/Mar 30
112 REMARKETED
18389 DEBT
4 REMARKETED (20N) DEBT

610: Business Wire_1999-2010/May 05
560 REMARKETED
203020 DEBT
75 REMARKETED (20N) DEBT

810: Business Wire_1986-1999/Feb 28
166 REMARKETED
75355 DEBT
5 REMARKETED (20N) DEBT

TOTAL: FILES 9,15,160 and ...
4033 REMARKETED
1661973 DEBT
S2 476 REMARKETED (20N) DEBT

? s debt (20n) fixed (20n) coupon

**Processing
Processing**

9: Business & Industry(R)_Jul/1994-2010/May 06
26347 COUPON
108857 FIXED
151527 DEBT
179 DEBT (20N) FIXED (20N) COUPON

15: ABI/Inform(R)_1971-2010/May 06
56679 COUPON
295692 FIXED
401412 DEBT
713 DEBT (20N) FIXED (20N) COUPON

160: Gale Group PROMT(R)_1972-1989
2486 COUPON
12942 FIXED
40165 DEBT
6 DEBT (20N) FIXED (20N) COUPON

148: Gale Group Trade & Industry DB_1976-2010/May 06
64946 COUPON
498503 FIXED
772105 DEBT
773 DEBT (20N) FIXED (20N) COUPON

275: Gale Group Computer DB(TM)_1983-2010/Mar 30
2604 COUPON

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18389 DEBT
58185 FIXED
2 DEBT (20N) FIXED (20N) COUPON

610: Business Wire_1999-2010/May 05
10808 COUPON
203020 DEBT
171439 FIXED
136 DEBT (20N) FIXED (20N) COUPON

810: Business Wire_1986-1999/Feb 28
4257 COUPON
25251 FIXED
75355 DEBT
23 DEBT (20N) FIXED (20N) COUPON

TOTAL: FILES 9,15,160 and ...
1661973 DEBT
1170869 FIXED
168127 COUPON
S3 1832 DEBT (20N) FIXED (20N) COUPON

? s debt (20n) fixed (20n) cash (20n) coupon

Processing
Processing

9: Business & Industry(R)_Jul/1994-2010/May 06
26347 COUPON
108857 FIXED
151527 DEBT
230195 CASH
27 DEBT (20N) FIXED (20N) CASH (20N) COUPON

15: ABI/Inform(R)_1971-2010/May 06
56679 COUPON
295692 FIXED
401412 DEBT
512166 CASH
221 DEBT (20N) FIXED (20N) CASH (20N) COUPON

160: Gale Group PROMT(R)_1972-1989
2486 COUPON
12942 FIXED
40165 DEBT
52017 CASH
1 DEBT (20N) FIXED (20N) CASH (20N) COUPON

148: Gale Group Trade & Industry DB_1976-2010/May 06
64946 COUPON
498503 FIXED
772105 DEBT
1299228 CASH
197 DEBT (20N) FIXED (20N) CASH (20N) COUPON

275: Gale Group Computer DB(TM)_1983-2010/Mar 30
2604 COUPON
18389 DEBT
58185 FIXED
```

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63769 CASH
1 DEBT (20N) FIXED (20N) CASH (20N) COUPON

610: Business Wire_1999-2010/May 05
10808 COUPON
203020 DEBT
323703 CASH
171439 FIXED
40 DEBT (20N) FIXED (20N) CASH (20N) COUPON

810: Business Wire_1986-1999/Feb 28
4257 COUPON
25251 FIXED
75355 DEBT
137814 CASH
8 DEBT (20N) FIXED (20N) CASH (20N) COUPON

TOTAL: FILES 9,15,160 and ...
1661973 DEBT
1170869 FIXED
2618892 CASH
168127 COUPON
S4 495 DEBT (20N) FIXED (20N) CASH (20N) COUPON
```

? s periodic (20n) (pay or paid) (20n) interest

Processing

```
9: Business & Industry(R)_Jul/1994-2010/May 06
5385 PERIODIC
307423 INTEREST
305001 PAY
156520 PAID
23 PERIODIC (20N) (PAY OR PAID) (20N) INTEREST

15: ABI/Inform(R)_1971-2010/May 06
42838 PERIODIC
657195 PAY
405999 PAID
857587 INTEREST
239 PERIODIC (20N) (PAY OR PAID) (20N) INTEREST

160: Gale Group PROMT(R)_1972-1989
1207 PERIODIC
59354 INTEREST
45131 PAY
19610 PAID
2 PERIODIC (20N) (PAY OR PAID) (20N) INTEREST

148: Gale Group Trade & Industry DB_1976-2010/May 06
135071 PERIODIC
1020554 PAY
720452 PAID
2790211 INTEREST
361 PERIODIC (20N) (PAY OR PAID) (20N) INTEREST

275: Gale Group Computer DB(TM)_1983-2010/Mar 30
4809 PERIODIC
94893 INTEREST
```

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107126 PAY
44312 PAID
10 PERIODIC (20N) (PAY OR PAID) (20N) INTEREST

610: Business Wire_1999-2010/May 05
60139 PERIODIC
124391 PAY
141944 PAID
384469 INTEREST
196 PERIODIC (20N) (PAY OR PAID) (20N) INTEREST

810: Business Wire_1986-1999/Feb 28
4986 PERIODIC
41664 PAY
51309 PAID
156280 INTEREST
9 PERIODIC (20N) (PAY OR PAID) (20N) INTEREST

TOTAL: FILES 9,15,160 and ...
254435 PERIODIC
2301062 PAY
1540146 PAID
4650217 INTEREST
S5 840 PERIODIC (20N) (PAY OR PAID) (20N) INTEREST

? s periodic (20n) contract (20n) payment

9: Business & Industry(R)_Jul/1994-2010/May 06
5385 PERIODIC
123412 PAYMENT
292553 CONTRACT
15 PERIODIC (20N) CONTRACT (20N) PAYMENT

15: ABI/Inform(R)_1971-2010/May 06
42838 PERIODIC
226373 PAYMENT
420860 CONTRACT
142 PERIODIC (20N) CONTRACT (20N) PAYMENT

160: Gale Group PROMT(R)_1972-1989
1207 PERIODIC
10951 PAYMENT
83210 CONTRACT
0 PERIODIC (20N) CONTRACT (20N) PAYMENT

148: Gale Group Trade & Industry DB_1976-2010/May 06
135071 PERIODIC
477266 PAYMENT
960983 CONTRACT
101 PERIODIC (20N) CONTRACT (20N) PAYMENT

275: Gale Group Computer DB(TM)_1983-2010/Mar 30
4809 PERIODIC
30230 PAYMENT
148551 CONTRACT
0 PERIODIC (20N) CONTRACT (20N) PAYMENT

610: Business Wire_1999-2010/May 05
60139 PERIODIC

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```
119703  PAYMENT
147060  CONTRACT
      12  PERIODIC (20N) CONTRACT (20N) PAYMENT

810: Business Wire_1986-1999/Feb 28
      4986 PERIODIC
      33929 PAYMENT
      72808 CONTRACT
        1  PERIODIC (20N) CONTRACT (20N) PAYMENT

TOTAL: FILES 9,15,160 and ...
      254435 PERIODIC
      2126025 CONTRACT
      1021864 PAYMENT
S6      271  PERIODIC (20N) CONTRACT (20N) PAYMENT
```

? s debt (20n) coupon

```
9: Business & Industry(R)_Jul/1994-2010/May 06
      26347 COUPON
      151527 DEBT
        1170 DEBT (20N) COUPON

15: ABI/Inform(R)_1971-2010/May 06
      56679 COUPON
      401412 DEBT
        4596 DEBT (20N) COUPON

160: Gale Group PROMT(R)_1972-1989
      2486 COUPON
      40165 DEBT
        69 DEBT (20N) COUPON

148: Gale Group Trade & Industry DB_1976-2010/May 06
      64946 COUPON
      772105 DEBT
        4248 DEBT (20N) COUPON

275: Gale Group Computer DB(TM)_1983-2010/Mar 30
      2604 COUPON
      18389 DEBT
        54 DEBT (20N) COUPON

610: Business Wire_1999-2010/May 05
      10808 COUPON
      203020 DEBT
        806 DEBT (20N) COUPON

810: Business Wire_1986-1999/Feb 28
      4257 COUPON
      75355 DEBT
        356 DEBT (20N) COUPON

TOTAL: FILES 9,15,160 and ...
      1661973 DEBT
      168127 COUPON
S7      11299 DEBT (20N) COUPON
```

? s call (5n) coption

```

9: Business & Industry(R)_Jul/1994-2010/May 06
    0 COPTION
    407771 CALL
    0 CALL (5N) COPTION

15: ABI/Inform(R)_1971-2010/May 06
    0 COPTION
    667675 CALL
    0 CALL (5N) COPTION

160: Gale Group PROMT(R)_1972-1989
    0 COPTION
    19040 CALL
    0 CALL (5N) COPTION

148: Gale Group Trade & Industry DB_1976-2010/May 06
    1 COPTION
    1662933 CALL
    0 CALL (5N) COPTION

275: Gale Group Computer DB(TM)_1983-2010/Mar 30
    1 COPTION
    160666 CALL
    0 CALL (5N) COPTION

610: Business Wire_1999-2010/May 05
    0 COPTION
    461449 CALL
    0 CALL (5N) COPTION

810: Business Wire_1986-1999/Feb 28
    0 COPTION
    105643 CALL
    0 CALL (5N) COPTION

TOTAL: FILES 9,15,160 and ...
    3485177 CALL
    2 COPTION
    S8 0 CALL (5N) COPTION

```

? s securitiz???? (20n) (see (5n) common (5n) (stock or security????))

Processing
Processing

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9: Business & Industry(R)_Jul/1994-2010/May 06
    151667 COMMON
    517459 SEE
    218163 SECURITY????
    311008 STOCK
    5918 SECURITIZ????
    0 SECURITIZ???? (20N) (SEE (5N) COMMON (5N) (STOCK OR
      SECURITY????))

15: ABI/Inform(R)_1971-2010/May 06

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```
543582  COMMON
1171426  SEE
490387  SECURITY????
623553  STOCK
11701   SECURITIZ????
      0  SECURITIZ???? (20N) (SEE (5N) COMMON (5N) (STOCK OR
      SECURITY????))

160: Gale Group PROMT(R)_1972-1989
      16767  SEE
      42904  COMMON
      19507  SECURITY????
      86140  STOCK
      82    SECURITIZ????
      0    SECURITIZ???? (20N) (SEE (5N) COMMON (5N) (STOCK OR
      SECURITY????))

148: Gale Group Trade & Industry DB_1976-2010/May 06
      1450688  COMMON
      1837126  SEE
      1248621  SECURITY????
      2029789  STOCK
      17465   SECURITIZ????
      0    SECURITIZ???? (20N) (SEE (5N) COMMON (5N) (STOCK OR
      SECURITY????))

275: Gale Group Computer DB(TM)_1983-2010/Mar 30
      95    SECURITIZ????
      131788  COMMON
      272141  SEE
      90889   STOCK
      217235  SECURITY????
      0    SECURITIZ???? (20N) (SEE (5N) COMMON (5N) (STOCK OR
      SECURITY????))

610: Business Wire_1999-2010/May 05
      278123  SEE
      353242  COMMON
      286247  SECURITY????
      485245  STOCK
      4396   SECURITIZ????
      0    SECURITIZ???? (20N) (SEE (5N) COMMON (5N) (STOCK OR
      SECURITY????))

810: Business Wire_1986-1999/Feb 28
      62756  SEE
      212868  COMMON
      54680   SECURITY????
      244493  STOCK
      1412   SECURITIZ????
      0    SECURITIZ???? (20N) (SEE (5N) COMMON (5N) (STOCK OR
      SECURITY????))

TOTAL: FILES 9,15,160 and ...
      41069  SECURITIZ????
      4155798  SEE
      2886739  COMMON
      3871117  STOCK
      2534840  SECURITY????
S9      0    SECURITIZ???? (20N) (SEE (5N) COMMON (5N) (STOCK OR
      SECURITY????))
```

? s pd>20021007

[illegible]

Processing
Processing
Processing
Processing
Processing
Processing
Processing

9: Business & Industry(R)_Jul/1994-2010/May 06
Processing
Processing
1848926 PD>20021007

15: ABI/Inform(R)_1971-2010/May 06
Processing
Processing
Processing
3146542 PD>20021007

160: Gale Group PROMT(R)_1972-1989
0 PD>20021007

148: Gale Group Trade & Industry DB_1976-2010/May 06
Processing
Processing
Processing
Processing
Processing
Processing
Processing
Processing
Processing
Processing
Processing
Processing
Processing
Processing
Processing
10545977 PD>20021007

275: Gale Group Computer DB(TM)_1983-2010/Mar 30
Processing
1055870 PD>20021007

610: Business Wire_1999-2010/May 05
Processing
Processing
1537573 PD>20021007

810: Business Wire_1986-1999/Feb 28
0 PD>20021007

TOTAL: FILES 9,15,160 and ...
S1018134888 PD>20021007

? s au=jones, phil

9: Business & Industry(R)_Jul/1994-2010/May 06
>>>Prefix "AU" is undefined
0 AU=JONES, PHIL

```
15: ABI/Inform(R)_1971-2010/May 06
    22 AU=JONES, PHIL

160: Gale Group PROMT(R)_1972-1989
    0 AU=JONES, PHIL

148: Gale Group Trade & Industry DB_1976-2010/May 06
    15 AU=JONES, PHIL

275: Gale Group Computer DB(TM)_1983-2010/Mar 30
    1 AU=JONES, PHIL

610: Business Wire_1999-2010/May 05
    0 AU=JONES, PHIL

810: Business Wire_1986-1999/Feb 28
>>>Prefix "AU" is undefined
    0 AU=JONES, PHIL

TOTAL: FILES 9,15,160 and ...
      S11      38 AU=JONES, PHIL
```

? s au=jones, Philip

```
9: Business & Industry(R)_Jul/1994-2010/May 06
>>>Prefix "AU" is undefined
    0 AU=JONES, PHILIP

15: ABI/Inform(R)_1971-2010/May 06
    15 AU=JONES, PHILIP

160: Gale Group PROMT(R)_1972-1989
    0 AU=JONES, PHILIP

148: Gale Group Trade & Industry DB_1976-2010/May 06
    94 AU=JONES, PHILIP

275: Gale Group Computer DB(TM)_1983-2010/Mar 30
    0 AU=JONES, PHILIP

610: Business Wire_1999-2010/May 05
    0 AU=JONES, PHILIP

810: Business Wire_1986-1999/Feb 28
>>>Prefix "AU" is undefined
    0 AU=JONES, PHILIP

TOTAL: FILES 9,15,160 and ...
      S12     109 AU=JONES, PHILIP
```

? s au=schoen, Karen

```
9: Business & Industry(R)_Jul/1994-2010/May 06
>>>Prefix "AU" is undefined
    0 AU=SCHOEN, KAREN
```

```
15: ABI/Inform(R)_1971-2010/May 06
    0 AU=SCHOEN, KAREN

160: Gale Group PROMT(R)_1972-1989
    0 AU=SCHOEN, KAREN

148: Gale Group Trade & Industry DB_1976-2010/May 06
    0 AU=SCHOEN, KAREN

275: Gale Group Computer DB(TM)_1983-2010/Mar 30
    0 AU=SCHOEN, KAREN

610: Business Wire_1999-2010/May 05
    0 AU=SCHOEN, KAREN

810: Business Wire_1986-1999/Feb 28
>>>Prefix "AU" is undefined
    0 AU=SCHOEN, KAREN

TOTAL: FILES 9,15,160 and ...
      S13      0 AU=SCHOEN, KAREN
```

? s au=schoen, k

```
9: Business & Industry(R)_Jul/1994-2010/May 06
>>>Prefix "AU" is undefined
    0 AU=SCHOEN, K

15: ABI/Inform(R)_1971-2010/May 06
    0 AU=SCHOEN, K

160: Gale Group PROMT(R)_1972-1989
    0 AU=SCHOEN, K

148: Gale Group Trade & Industry DB_1976-2010/May 06
    0 AU=SCHOEN, K

275: Gale Group Computer DB(TM)_1983-2010/Mar 30
    0 AU=SCHOEN, K

610: Business Wire_1999-2010/May 05
    0 AU=SCHOEN, K

810: Business Wire_1986-1999/Feb 28
>>>Prefix "AU" is undefined
    0 AU=SCHOEN, K

TOTAL: FILES 9,15,160 and ...
      S14      0 AU=SCHOEN, K
```

? s s3 and s7

```
9: Business & Industry(R)_Jul/1994-2010/May 06
    179 S3
    1170 S7
```

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105  S3 AND S7

15: ABI/Inform(R)_1971-2010/May 06
    713  S3
    4596 S7
    509  S3 AND S7

160: Gale Group PROMT(R)_1972-1989
     6   S3
    69  S7
     4   S3 AND S7

148: Gale Group Trade & Industry DB_1976-2010/May 06
    773  S3
    4248 S7
    493  S3 AND S7

275: Gale Group Computer DB(TM)_1983-2010/Mar 30
     2   S3
    54  S7
     2   S3 AND S7

610: Business Wire_1999-2010/May 05
    136  S3
    806  S7
     68  S3 AND S7

810: Business Wire_1986-1999/Feb 28
     23  S3
    356  S7
     21  S3 AND S7

TOTAL: FILES 9,15,160 and ...
      1832 S3
     11299 S7
    S15   1202 S3 AND S7

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? s s6 and s7

```

9: Business & Industry(R)_Jul/1994-2010/May 06
   15  S6
  1170 S7
     0  S6 AND S7

15: ABI/Inform(R)_1971-2010/May 06
   142  S6
  4596 S7
     5  S6 AND S7

160: Gale Group PROMT(R)_1972-1989
     0  S6
    69  S7
     0  S6 AND S7

148: Gale Group Trade & Industry DB_1976-2010/May 06
    101  S6
   4248 S7
     0  S6 AND S7

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275: Gale Group Computer DB(TM)_1983-2010/Mar 30
0 S6
54 S7
0 S6 AND S7

610: Business Wire_1999-2010/May 05
12 S6
806 S7
0 S6 AND S7

810: Business Wire_1986-1999/Feb 28
1 S6
356 S7
0 S6 AND S7

TOTAL: FILES 9,15,160 and ...
271 S6
11299 S7
S16 5 S6 AND S7

? s s9 not s10

9: Business & Industry(R)_Jul/1994-2010/May 06
0 S9
1848926 S10
0 S9 NOT S10

15: ABI/Inform(R)_1971-2010/May 06
0 S9
3146542 S10
0 S9 NOT S10

160: Gale Group PROMT(R)_1972-1989
0 S9
0 S10
0 S9 NOT S10

148: Gale Group Trade & Industry DB_1976-2010/May 06
0 S9
10545977 S10
0 S9 NOT S10

275: Gale Group Computer DB(TM)_1983-2010/Mar 30
0 S9
1055870 S10
0 S9 NOT S10

610: Business Wire_1999-2010/May 05
0 S9
1537573 S10
0 S9 NOT S10

810: Business Wire_1986-1999/Feb 28
0 S9
0 S10
0 S9 NOT S10

TOTAL: FILES 9,15,160 and ...
 0 S9
 18134888 S10
 S17 0 S9 NOT S10

? ds

Processing

Set	File	Items	Description
	9	393	
	15	6274	
	160	5	
	148	10751	
	275	103	
	610	4647	
	810	494	
S1		22667	(FORWARD (20N) CONTRACT) AND (SECURITY OR SECURITIES)
	9	28	
	15	151	
	160	1	
	148	212	
	275	4	
	610	75	
	810	5	
S2		476	REMARKETED (20N) DEBT
	9	179	
	15	713	
	160	6	
	148	773	
	275	2	
	610	136	
	810	23	
S3		1832	DEBT (20N) FIXED (20N) COUPON
	9	27	
	15	221	
	160	1	
	148	197	
	275	1	
	610	40	
	810	8	
S4		495	DEBT (20N) FIXED (20N) CASH (20N) COUPON
	9	23	
	15	239	
	160	2	
	148	361	
	275	10	
	610	196	
	810	9	
S5		840	PERIODIC (20N) (PAY OR PAID) (20N) INTEREST
	9	15	
	15	142	
	160	0	
	148	101	
	275	0	
	610	12	
	810	1	
S6		271	PERIODIC (20N) CONTRACT (20N) PAYMENT
	9	1170	

	15	4596	
	160	69	
	148	4248	
	275	54	
	610	806	
	810	356	
S7	11299		DEBT (20N) COUPON
	9	0	
	15	0	
	160	0	
	148	0	
	275	0	
	610	0	
	810	0	
S8	0		CALL (5N) COPTION
	9	0	
	15	0	
	160	0	
	148	0	
	275	0	
	610	0	
	810	0	
S9	0		SECURITIZ???? (20N) (SEE (5N) COMMON (5N) (STOCK OR -
			SECURITY????))
	9	1848926	
	15	3146542	
	160	0	
	148	10545977	
	275	1055870	
	610	1537573	
	810	0	
S10	18134888		PD>20021007
	9	0	
	15	22	
	160	0	
	148	15	
	275	1	
	610	0	
	810	0	
S11	38		AU=JONES, PHIL
	9	0	
	15	15	
	160	0	
	148	94	
	275	0	
	610	0	
	810	0	
S12	109		AU=JONES, PHILIP
	9	0	
	15	0	
	160	0	
	148	0	
	275	0	
	610	0	
	810	0	
S13	0		AU=SCHOEN, KAREN
	9	0	
	15	0	
	160	0	
	148	0	
	275	0	
	610	0	

	810	0	
S14		0	AU=SCHOEN, K
	9	105	
	15	509	
	160	4	
	148	493	
	275	2	
	610	68	
	810	21	
S15		1202	S3 AND S7
	9	0	
	15	5	
	160	0	
	148	0	
	275	0	
	610	0	
	810	0	
S16		5	S6 AND S7
	9	0	
	15	0	
	160	0	
	148	0	
	275	0	
	610	0	
	810	0	
S17		0	S9 NOT S10

? s call (5n) option

Processing

```

9: Business & Industry(R)_Jul/1994-2010/May 06
    118678  OPTION
    407771  CALL
    2090   CALL (5N) OPTION

15: ABI/Inform(R)_1971-2010/May 06
    299218  OPTION
    667675  CALL
    5561   CALL (5N) OPTION

160: Gale Group PROMT(R)_1972-1989
    17855   OPTION
    19040   CALL
    70     CALL (5N) OPTION

148: Gale Group Trade & Industry DB_1976-2010/May 06
    566014  OPTION
    1662933 CALL
    9685   CALL (5N) OPTION

275: Gale Group Computer DB(TM)_1983-2010/Mar 30
    95443   OPTION
    160666  CALL
    723    CALL (5N) OPTION

610: Business Wire_1999-2010/May 05
    107616  OPTION
    461449  CALL

```

```

2066  CALL (5N) OPTION

810: Business Wire_1986-1999/Feb 28
      40947  OPTION
      105643 CALL
      346   CALL (5N) OPTION

TOTAL: FILES 9,15,160 and ...
      3485177 CALL
      1245771 OPTION
      S18   20541  CALL (5N) OPTION

```

? s s3 and s17

```

9: Business & Industry(R)_Jul/1994-2010/May 06
    0   S17
   179  S3
    0   S3 AND S17

15: ABI/Inform(R)_1971-2010/May 06
    0   S17
   713  S3
    0   S3 AND S17

160: Gale Group PROMT(R)_1972-1989
    0   S17
     6   S3
    0   S3 AND S17

148: Gale Group Trade & Industry DB_1976-2010/May 06
    0   S17
   773  S3
    0   S3 AND S17

275: Gale Group Computer DB(TM)_1983-2010/Mar 30
    0   S17
     2   S3
    0   S3 AND S17

610: Business Wire_1999-2010/May 05
    0   S17
   136  S3
    0   S3 AND S17

810: Business Wire_1986-1999/Feb 28
    0   S17
    23  S3
    0   S3 AND S17

TOTAL: FILES 9,15,160 and ...
      1832  S3
        0   S17
      S19   0   S3 AND S17

```

? s s3 and s18

Save-2010-05-07_111153

```

9: Business & Industry(R)_Jul/1994-2010/May 06
    179 S3
    2090 S18
    22 S3 AND S18

15: ABI/Inform(R)_1971-2010/May 06
    713 S3
    5561 S18
    57 S3 AND S18

160: Gale Group PROMT(R)_1972-1989
    6 S3
    70 S18
    0 S3 AND S18

148: Gale Group Trade & Industry DB_1976-2010/May 06
    773 S3
    9685 S18
    35 S3 AND S18

275: Gale Group Computer DB(TM)_1983-2010/Mar 30
    2 S3
    723 S18
    0 S3 AND S18

610: Business Wire_1999-2010/May 05
    136 S3
    2066 S18
    1 S3 AND S18

810: Business Wire_1986-1999/Feb 28
    23 S3
    346 S18
    0 S3 AND S18

TOTAL: FILES 9,15,160 and ...
    1832 S3
    20541 S18
    S20 115 S3 AND S18

```

? ds

Set	File	Items	Description
	9	393	
	15	6274	
	160	5	
	148	10751	
	275	103	
	610	4647	
	810	494	
S1	22667		(FORWARD (20N) CONTRACT) AND (SECURITY OR SECURITIES)
	9	28	
	15	151	
	160	1	
	148	212	
	275	4	
	610	75	
	810	5	
S2	476		REMARKETED (20N) DEBT

	9	179	
	15	713	
	160	6	
	148	773	
	275	2	
	610	136	
	810	23	
S3		1832	DEBT (20N) FIXED (20N) COUPON
	9	27	
	15	221	
	160	1	
	148	197	
	275	1	
	610	40	
	810	8	
S4		495	DEBT (20N) FIXED (20N) CASH (20N) COUPON
	9	23	
	15	239	
	160	2	
	148	361	
	275	10	
	610	196	
	810	9	
S5		840	PERIODIC (20N) (PAY OR PAID) (20N) INTEREST
	9	15	
	15	142	
	160	0	
	148	101	
	275	0	
	610	12	
	810	1	
S6		271	PERIODIC (20N) CONTRACT (20N) PAYMENT
	9	1170	
	15	4596	
	160	69	
	148	4248	
	275	54	
	610	806	
	810	356	
S7		11299	DEBT (20N) COUPON
	9	0	
	15	0	
	160	0	
	148	0	
	275	0	
	610	0	
	810	0	
S8		0	CALL (5N) COPTION
	9	0	
	15	0	
	160	0	
	148	0	
	275	0	
	610	0	
	810	0	
S9		0	SECURITIZ???? (20N) (SEE (5N) COMMON (5N) (STOCK OR - SECURITY????))
	9	1848926	
	15	3146542	
	160	0	
	148	10545977	
	275	1055870	

	610	1537573	
	810	0	
S10		18134888	PD>20021007
	9	0	
	15	22	
	160	0	
	148	15	
	275	1	
	610	0	
	810	0	
S11		38	AU=JONES, PHIL
	9	0	
	15	15	
	160	0	
	148	94	
	275	0	
	610	0	
	810	0	
S12		109	AU=JONES, PHILIP
	9	0	
	15	0	
	160	0	
	148	0	
	275	0	
	610	0	
	810	0	
S13		0	AU=SCHOEN, KAREN
	9	0	
	15	0	
	160	0	
	148	0	
	275	0	
	610	0	
	810	0	
S14		0	AU=SCHOEN, K
	9	105	
	15	509	
	160	4	
	148	493	
	275	2	
	610	68	
	810	21	
S15		1202	S3 AND S7
	9	0	
	15	5	
	160	0	
	148	0	
	275	0	
	610	0	
	810	0	
S16		5	S6 AND S7
	9	0	
	15	0	
	160	0	
	148	0	
	275	0	
	610	0	
	810	0	
S17		0	S9 NOT S10
	9	2090	
	15	5561	
	160	70	

	148	9685	
	275	723	
	610	2066	
	810	346	
S18		20541	CALL (5N) OPTION
	9	0	
	15	0	
	160	0	
	148	0	
	275	0	
	610	0	
	810	0	
S19		0	S3 AND S17
	9	22	
	15	57	
	160	0	
	148	35	
	275	0	
	610	1	
	810	0	
S20		115	S3 AND S18

? s securitiz???? (20n) (sell (5n) common (5n) (stock or securit????))

Processing
Processing
Processing
Processing

```

9: Business & Industry(R)_Jul/1994-2010/May 06
    151667 COMMON
    327042 SELL
    311008 STOCK
    429077 SECURIT????
    5918 SECURITIZ????
    1 SECURITIZ???? (20N) (SELL (5N) COMMON (5N) (STOCK OR
      SECURIT????))

15: ABI/Inform(R)_1971-2010/May 06
    502153 SELL
    543582 COMMON
    623553 STOCK
    892514 SECURIT????
    11701 SECURITIZ????
    2 SECURITIZ???? (20N) (SELL (5N) COMMON (5N) (STOCK OR
      SECURIT????))

160: Gale Group PROMT(R)_1972-1989
    82 SECURITIZ????
    42904 COMMON
    85734 SELL
    53453 SECURIT????
    86140 STOCK
    0 SECURITIZ???? (20N) (SELL (5N) COMMON (5N) (STOCK OR
      SECURIT????))

148: Gale Group Trade & Industry DB_1976-2010/May 06
    1135968 SELL

```

Save-2010-05-07_111153

```
1450688  COMMON
2029789  STOCK
3604177  SECURIT????
  17465  SECURITIZ????
    1  SECURITIZ???? (20N) (SELL (5N) COMMON (5N) (STOCK OR
      SECURIT????))

275: Gale Group Computer DB(TM)_1983-2010/Mar 30
    95  SECURITIZ????
  104276 SELL
  131788 COMMON
   90889 STOCK
  254277 SECURIT????
    0  SECURITIZ???? (20N) (SELL (5N) COMMON (5N) (STOCK OR
      SECURIT????))

610: Business Wire_1999-2010/May 05
  158945 SELL
  353242 COMMON
  485245 STOCK
  918784 SECURIT????
   4396  SECURITIZ????
    0  SECURITIZ???? (20N) (SELL (5N) COMMON (5N) (STOCK OR
      SECURIT????))

810: Business Wire_1986-1999/Feb 28
   50233 SELL
  212868 COMMON
  165313 SECURIT????
  244493 STOCK
   1412  SECURITIZ????
    0  SECURITIZ???? (20N) (SELL (5N) COMMON (5N) (STOCK OR
      SECURIT????))

TOTAL: FILES 9,15,160 and ...
   41069 SECURITIZ????
  2364351 SELL
  2886739 COMMON
  3871117 STOCK
  6317595 SECURIT????
S21      4  SECURITIZ???? (20N) (SELL (5N) COMMON (5N) (STOCK OR
      SECURIT????))
```

? s s21 not s10

Processing

```
9: Business & Industry(R)_Jul/1994-2010/May 06
    1  S21
  1848926 S10
    1  S21 NOT S10

15: ABI/Inform(R)_1971-2010/May 06
    2  S21
  3146542 S10
    1  S21 NOT S10

160: Gale Group PROMT(R)_1972-1989
    0  S21
```



```
0 S10
0 S21 NOT S10

148: Gale Group Trade & Industry DB_1976-2010/May 06
1 S21
10545977 S10
1 S21 NOT S10

275: Gale Group Computer DB(TM)_1983-2010/Mar 30
0 S21
1055870 S10
0 S21 NOT S10

610: Business Wire_1999-2010/May 05
0 S21
1537573 S10
0 S21 NOT S10

810: Business Wire_1986-1999/Feb 28
0 S21
0 S10
0 S21 NOT S10

TOTAL: FILES 9,15,160 and ...
4 S21
18134888 S10
S22 3 S21 NOT S10
```

? rd

```
S23      3 RD (unique items)
```

? t /6,k/all

23/6,K/1 (Item 1 from file: 9)
DIALOG(R)File 9: Business & Industry(R)
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00734409 Supplier Number: 23297082
Imperial Spinning Off Jumbo Conduit Business

September 12, 1995

ABSTRACT:

...Imperial Credit's ICI Funding Corp subsidiary will purchase nonconforming loans from third-party correspondents, **securitize** them, and then **sell** them. Imperial Credit expected to **sell** 4.6 mil **common** shares through a public offering in 10/95. The article compares the new unit to...

Dialog eLink:

USPTO Full Text Retrieval Options

23/6,K/2 (Item 1 from file: 15)

DIALOG(R)File 15: ABI/Inform(R)

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00917740

95-67132

****USE FORMAT 7 OR 9 FOR FULL TEXT****

RBC median for 1993 was 350%, Salomon Brothers says

Sep 1994 **Length:** 1 Pages

Word Count: 327

Text:

...many new issues or by reclassifying assets to other lower-risk categories. Others chose to **sell** liquid high-factor assets like **common stock**. Companies with troubled real estate investments **securitized** commercial mortgage holdings and used real estate investment trusts to move assets off their balance...

23/6,K/3 (Item 1 from file: 148)

DIALOG(R)File 148: Gale Group Trade & Industry DB

(c) 2010 Gale/Cengage. All rights reserved.

07537713 **Supplier Number:** 15860168 (USE FORMAT 7 OR 9 FOR FULL TEXT)

RBC median for 1993 was 350%, Salomon Brothers says. (risk-based capital)

(Brief Article)

Sept , 1994

Word Count: 370 **Line Count:** 00028

...many new issues or by reclassifying assets to other lower-risk categories. Others chose to **sell** liquid high-factor assets like **common stock**. Companies with troubled real estate investments **securitized** commercial mortgage holdings and used real estate investment trusts to move assets off their balance...

? t /9/3

23/9/3 (Item 1 from file: 148)

DIALOG(R)File 148: Gale Group Trade & Industry DB

(c) 2010 Gale/Cengage. All rights reserved.

07537713 **Supplier Number:** 15860168 (THIS IS THE FULL TEXT)

RBC median for 1993 was 350%, Salomon Brothers says. (risk-based capital)

(Brief Article)

Best's Review - Life-Health Insurance Edition , v95 , n5 , p12(1)

Sept , 1994

Document Type: Brief Article

ISSN: 0005-9706

Language: ENGLISH

Record Type: FULLTEXT

Word Count: 370 **Line Count:** 00028

Text:

RBC MEDIAN FOR 1993 WAS 350%, SALOMON BROTHERS SAYS. The median ratio of risk-based capital for life insurers is 350%, well above regulatory thresholds, according to a Salomon Brothers Inc. survey, but the price of a high score may be a lower investment return. At year-end 1993, life insurers were required to file risk-based capital calculations with the National Association of Insurance Commissioners. Salomon calculates an insurance company's risk-based capital ratio by dividing the total adjusted capital of a company by total risk-based capital (not one half of the risk-based capital, the number reported in the annual statement).

Of the 1,075 companies surveyed, Salomon says only 16 companies, or 1.5%, reported a ratio below 100%, the "regulatory action" zone, according to NAIC guidelines. Twenty-four companies, or 2.2%, were between 100% and 125%, the "red flag" zone. Eighty-four percent of the companies have ratios that exceed 200%. The NAIC says that an adequate risk-based capital ratio is above 125%.

Salomon notes that insurers appeared to have done a fair amount of tinkering to achieve what they perceived as an RBC ideal. For example, to raise the numerator of the equation, stock companies raised capital by selling new shares of common and preferred stock and by issuing new debt at the holding company level. The capital raised by new common stock, preferred stock and debt by life insurers totaled \$1.03 billion, \$840 million and \$1.96 billion respectively, according to Salomon. Mutuals, however, issued surplus notes to raise capital for a total of \$1.85 billion.

To reduce the denominator of the equation, a few companies favored a cosmetic approach, Salomon says. They reduced their bond portfolios by buying small pieces of many new issues or by reclassifying assets to other lower-risk categories. Others chose to **sell** liquid high-factor assets like **common stock**. Companies with troubled real estate investments **securitized** commercial mortgage holdings and used real estate investment trusts to move assets off their balance sheets. The majority of companies chose to invest in fixed income assets, such as bonds.

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Industry Codes/Names: INSR Insurance and Human Resources

Descriptors: Life insurance industry--Statistics; Venture capital--Statistics

Product/Industry Names: 6310000 (Life Insurance)

Product/Industry Names: 6311 Life insurance

File Segment: TI File 148

? ds

Set	File	Items	Description
	9	393	
	15	6274	
	160	5	
	148	10751	
	275	103	
	610	4647	
	810	494	
S1		22667	(FORWARD (20N) CONTRACT) AND (SECURITY OR SECURITIES)
	9	28	
	15	151	
	160	1	
	148	212	
	275	4	
	610	75	
	810	5	
S2		476	REMARKETED (20N) DEBT
	9	179	
	15	713	
	160	6	
	148	773	
	275	2	
	610	136	
	810	23	
S3		1832	DEBT (20N) FIXED (20N) COUPON
	9	27	
	15	221	
	160	1	
	148	197	
	275	1	
	610	40	
	810	8	
S4		495	DEBT (20N) FIXED (20N) CASH (20N) COUPON
	9	23	
	15	239	
	160	2	
	148	361	
	275	10	
	610	196	
	810	9	
S5		840	PERIODIC (20N) (PAY OR PAID) (20N) INTEREST
	9	15	
	15	142	
	160	0	
	148	101	
	275	0	
	610	12	
	810	1	
S6		271	PERIODIC (20N) CONTRACT (20N) PAYMENT
	9	1170	
	15	4596	
	160	69	
	148	4248	
	275	54	
	610	806	
	810	356	
S7		11299	DEBT (20N) COUPON

	9	0	
	15	0	
	160	0	
	148	0	
	275	0	
	610	0	
	810	0	
S8		0	CALL (5N) COPTION
	9	0	
	15	0	
	160	0	
	148	0	
	275	0	
	610	0	
	810	0	
S9		0	SECURITIZ???? (20N) (SEE (5N) COMMON (5N) (STOCK OR - SECURITY????))
	9	1848926	
	15	3146542	
	160	0	
	148	10545977	
	275	1055870	
	610	1537573	
	810	0	
S10		18134888	PD>20021007
	9	0	
	15	22	
	160	0	
	148	15	
	275	1	
	610	0	
	810	0	
S11		38	AU=JONES, PHIL
	9	0	
	15	15	
	160	0	
	148	94	
	275	0	
	610	0	
	810	0	
S12		109	AU=JONES, PHILIP
	9	0	
	15	0	
	160	0	
	148	0	
	275	0	
	610	0	
	810	0	
S13		0	AU=SCHOEN, KAREN
	9	0	
	15	0	
	160	0	
	148	0	
	275	0	
	610	0	
	810	0	
S14		0	AU=SCHOEN, K
	9	105	
	15	509	
	160	4	
	148	493	
	275	2	

	610	68	
	810	21	
S15	1202		S3 AND S7
	9	0	
	15	5	
	160	0	
	148	0	
	275	0	
	610	0	
	810	0	
S16	5		S6 AND S7
	9	0	
	15	0	
	160	0	
	148	0	
	275	0	
	610	0	
	810	0	
S17	0		S9 NOT S10
	9	2090	
	15	5561	
	160	70	
	148	9685	
	275	723	
	610	2066	
	810	346	
S18	20541		CALL (5N) OPTION
	9	0	
	15	0	
	160	0	
	148	0	
	275	0	
	610	0	
	810	0	
S19	0		S3 AND S17
	9	22	
	15	57	
	160	0	
	148	35	
	275	0	
	610	1	
	810	0	
S20	115		S3 AND S18
	9	1	
	15	2	
	160	0	
	148	1	
	275	0	
	610	0	
	810	0	
S21	4		SECURITIZ???? (20N) (SELL (5N) COMMON (5N) (STOCK OR SECURIT????))
	9	1	
	15	1	
	160	0	
	148	1	
	275	0	
	610	0	
	810	0	
S22	3		S21 NOT S10
	9	1	
	15	1	

160	0	
148	1	
275	0	
610	0	
810	0	
S23	3	RD (unique items)